

09/762532

Practitioner's Docket No. OLIV-US1

## IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

PCT/GB99/02600	6 August 1999 (06.08.99)	14 August 1998 (14.08.98)
International Application Number	International Filing Date	International Earliest Priority Date

TITLE OF INVENTION: **INCORPORATION OF SMOKE-MODIFYING AGENTS IN SMOKING MATERIAL RODS**

APPLICANTS FOR DO/US: British American Tobacco (Investments) Limited; OLIVER, Richard

**Box PCT**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**  
**ATTENTION: DO/US**

**COMPLETION OF FILING REQUIREMENTS**  
**FOR INTERNATIONAL APPLICATION ENTERING NATIONAL**  
**STAGE IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. 371**

**COMPLETION OF REQUIREMENTS**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a First submission of items for a national stage filing under 35 U.S.C. 371.
2. Applicant expressly requests that national phase examination procedures begin at any time (35 U.S.C. 371(f)), rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
3. A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
4. A copy of the International Application was transmitted by the International Bureau, along with any amendments made under PCT Article 19. A copy of PCT/GB99/02600, published as WO 00/08959 is transmitted herewith. A copy of the International Search Report is attached to WO 00/08959.
5. Attached is a copy of the International Preliminary Examination Report.

**APPLICANTS FOR PURPOSES OF U.S. NATIONAL FILING (INVENTORS)**

6. The applicants (inventors) for purposes of U.S. national filing are:

OLIVER, Richard, 27 Shirley Park Road, Southampton, SO16 4fQ, United Kingdom

**DECLARATION OR OATH**

7. No original declaration or oath was filed. The executed original declaration or oath for this application is attached hereto.

**PRELIMINARY AMENDMENT**

8. A Preliminary Amendment in accordance with 37 C.F.R. § 1.121 is attached. The attached Amendment cancels claims 1-18 inclusively and adds new claims 19-38. The filing fees should be calculated based upon the claims set forth in the Preliminary Amendment. Following entry of the Amendment, there are 3 independent claims and 20 total claims pending in the Application.

**CALCULATION OF FILING FEES**

9. Fees for Claims

Each independent claim in excess of 3	\$ 80.00	x	0 =	\$ 0.00
Each claim in excess of 20	\$ 18.00	x	0 =	\$ 0.00
Multiple dependent claim(s)	\$270.00	x	0 =	<u>\$ 0.00</u>
<b>Total fees for claims</b>				<b>\$ 0.00</b>

10. Fees for Extension of Time

The proceedings herein are for a patent application. The provisions of 37 C.F.R. § 1.1 36(a) apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time. Please charge any extension fees to Deposit Account 10-1213.

11. **Basic Completion Fee**

( X ) Search report has been prepared by the EPO or JPO \$ 860.00 \$ 860.00

( ) Neither international preliminary examination fee  
nor international search fee paid to USPTO \$ 1000.00

12. Total Fee Due

**TOTAL FEE DUE**

**\$ 860.00**

#### **PAYMENT OF FEES**

13. Enclosed is a check in the amount of \$ 860.00 as payment of required fees.  
Please charge any additional fees which may be required, or refund any overpayment, to Deposit  
Account No. 10-1213.

#### **ASSIGNMENT**

14. An Assignment of the Application and an Assignment Recordation Cover Sheet are attached. Enclosed  
is a check in the amount of \$40 as payment for the assignment recordation fee.


#### **INFORMATION DISCLOSURE STATEMENT**

15. An Information Disclosure Statement in accordance with 37 CFR 1.97 and 1.98 is attached.

#### **POWER OF ATTORNEY**

16. The undersigned practitioner and firm have authority to act in this case. An executed Power of  
Attorney specifically giving the undersigned authority to act in this case is included with the attached  
Combined Declaration and Power of Attorney.

Date: February 8, 2001

  
SIGNATURE OF PRACTITIONER  
George M. Cooper  
Reg. No. 20,201

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*patent application serial no.*

*Department of Commerce  
Patent and Trademark Office  
fee record*

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